

CATERPILLAR 3406

92U / SEBP1276

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9N-3800 ENGINE AR

9N3800 ENGINE ARRANGEMENT--Part 1 of 2 Serial No. 92U29991 to 92U48688 inclusive. Provides a 261 brake kW (350 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	4N-2257	CYLINDER BLOCK GROUP	1	Y	
	9N-3866	CYLINDER BLOCK COVER GROUP	1	Y	
	4N-0741	CRANKSHAFT GROUP	1	Y	
	6N-4992	CAMSHAFT GROUP	1	Y	
	7N-3516	CONNECTING ROD AND PISTON GROUP	6	Y	
	4N-2270	CYLINDER HEAD GROUP	1	RY	
	4N-2271	VALVE MECHANISM GROUP	1	Y	
	9N-3618	VALVE MECHANISM COVER GROUP	1	Y	
	9N-0073	LIFTING EYE GROUP	1	Y	
	4N-2264	ACCESSORY DRIVE GROUP	1	Y	
	9N-0945	PULLEY AND DAMPER GROUP	1	Y	
	9N-0642	FRONT HOUSING COVER GROUP	1	Y	
	4N-3911	FRONT HOUSING GROUP	1	Y	
	4N-2274	FRONT TIMING GEAR GROUP	1	Y	
	9N-3887	FLYWHEEL HOUSING GROUP	1	Y	
	9N-0676	FLYWHEEL GROUP	1	Y	
	9N-3889	FLYWHEEL HOUSING COVER GROUP	1	Y	
	9N-3715	OIL PAN GROUP	1	Y	
	9N-0633	OIL LEVEL GAUGE GROUP	1	Y	
	4N-0733	OIL PUMP GROUP	1	RY	
	4N-0731	OIL FILTER GROUP	1	Y	
	4N-1128	OIL LINES GROUP	1	Y	
	4N-2481	OIL PUMP MOUNTING FASTENER GROUP	1	Y	
	9N-2517	FUMES DISPOSAL GROUP	1	Y	
	2N-8220	BREATHING GROUP	1	Y	

CAT codes: R, Y.

9N-3800 ENGINE AR

9N3800 ENGINE ARRANGEMENT--Part 2 of 2 Serial No. 92U29991 to 92U48688 inclusive. Provides a 261 brake kW (350 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-3144	WATER LINES GROUP	1	Y	
	4N-0729	OIL COOLER GROUP	1	Y	
	9N-3288	WATER PUMP GROUP	1	Y	
	9N-0022	EXHAUST MANIFOLD GROUP	1	Y	
	9N-3673	AIR LINES GROUP	1	Y	
	9N-2701	TURBOCHARGER GROUP	1	Y	
	9N-0013	TURBOCHARGER OIL LINES GROUP	1	Y	
	4N-9010	AFTERCOOLER GROUP	1	Y	
	9L-6671	MANIFOLD SHEILD GROUP	1	Y	
	4N-2474	WATER LINES GROUP--AFTERCOOLER	1	Y	
	9L-6970	AIR COMPRESSOR GROUP	1	Y	
	9L-6971	AIR COMPRESSOR LINES GROUP	1	Y	
	9N-3672	FUEL INJECTION LINES GROUP	1	Y	
	4N-3287	FUEL PRIMING PUMP GROUP	1	Y	
	9N-3702	GOVERNOR AND FUEL INJECTION PUMP GROUP	1	Y	
- OR - SERIAL NO. 92U29991 TO 92U46904 INCLUSIVE					
	1W-3628	GOVERNOR AND FUEL INJECTION PUMP GROUP	1	Y	
SERIAL NO. 92U46905--UP					
	9N-0792	FUEL RATIO CONTROL GROUP	1	Y	
	9N-0692	FUEL FILTER LINES GROUP	1	Y	
	9N-2145	GOVERNOR CONTROL GROUP	1	Y	
	4N-3949	FUEL TRANSFER PUMP GROUP	1	Y	
	4N-1981	FUEL FILTER GROUP	1	Y	
	9N-3671	FUEL PUMP AND GOVERNOR DRIVE GROUP	1	Y	
	9N-0016	TACHOMETER DRIVE GROUP	1	Y	
	9N-0449	ALTERNATOR MOUNTING GROUP	1	Y	
	9N-5080	SOLENOID SHUT-OFF GROUP	1	Y	

CAT codes: Y.

9N-3801 ENGINE AR

9N3801 ENGINE ARRANGEMENT--Part 1 of 2 Serial No. 92U29991 to 92U48688 inclusive. Provides a 261 brake kW (350 bhp) Direct Injection, Turbocharged Aftercooled Engine with BrakeSaver. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	4N-2257	CYLINDER BLOCK GROUP	1	Y	
	9N-3866	CYLINDER BLOCK COVER GROUP	1	Y	
	4N-0741	CRANKSHAFT GROUP	1	Y	
	6N-4992	CAMSHAFT GROUP	1	Y	
	7N-3516	CONNECTING ROD AND PISTON GROUP	6	Y	
	4N-2272	VALVE MECHANISM COVER GROUP	1	Y	
	9N-0073	LIFTING EYE GROUP	1	Y	
	4N-2270	CYLINDER HEAD GROUP	1	RY	
	4N-2271	VALVE MECHANISM GROUP	1	Y	
	4N-2264	ACCESSORY DRIVE GROUP	1	Y	
	9N-0945	PULLEY AND DAMPER GROUP	1	Y	
	9N-0642	FRONT HOUSING COVER GROUP	1	Y	
	4N-3911	FRONT HOUSING GROUP	1	Y	
	4N-2274	FRONT TIMING GEAR GROUP	1	Y	
	9N-3887	FLYWHEEL HOUSING GROUP	1	Y	
	9N-0678	FLYWHEEL GROUP	1	Y	
	9N-3889	FLYWHEEL HOUSING COVER GROUP	1	Y	
	9N-1852	BRAKESAVER GROUP	1	Y	
	9N-2059	BRAKESAVER FASTENER GROUP	1	Y	
	9N-0664	OIL PAN GROUP	1	Y	
	9N-3687	BRAKESAVER CONTROL VALVE GROUP	1	Y	
	9N-0633	OIL LEVEL GAUGE GROUP	1	Y	
	4N-8735	OIL PUMP GROUP	1	RY	
	9N-0632	OIL BRAKESAVER FILTER GROUP	1	Y	
	9N-0666	OIL LINES GROUP	1	Y	
	9N-0669	OIL LINES GROUP--REMOTE FILTER	1	Y	
	4N-2481	OIL PUMP MOUNTING FASTENER GROUP	1	Y	
	9N-0020	FUMES DISPOSAL GROUP	1	Y	
	2N-8220	BREATHER GROUP	1	Y	

CAT codes: R, Y.

9N-3801 ENGINE AR

9N3801 ENGINE ARRANGEMENT--Part 2 of 2 Serial No. 92U29991 to 92U48688 inclusive. Provides a 261 brake kW (350 bhp) Direct Injection, Turbocharged Aftercooled Engine with BrakeSaver. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-0031	WATER LINES GROUP	1	Y	
	9N-0024	OIL COOLER GROUP	1	Y	
	9N-3288	WATER PUMP GROUP	1	Y	
	9N-0022	EXHAUST MANIFOLD GROUP	1	Y	
	9N-3673	AIR LINES GROUP	1	Y	
	9N-2701	TURBOCHARGER GROUP	1	Y	
	9N-0013	TURBOCHARGER OIL LINES GROUP	1	Y	
	4N-9010	AFTERCOOLER GROUP	1	Y	
	9L-6671	MANIFOLD SHIELD GROUP	1	Y	
	4N-2474	WATER LINES GROUP AFTERCOOLER	1	Y	
	9L-6970	AIR COMPRESSOR GROUP	1	Y	
	9L-6971	AIR COMPRESSOR LINES GROUP	1	Y	
	9N-3672	FUEL INJECTION LINES GROUP	1	Y	
	4N-3949	FUEL TRANSFER PUMP GROUP	1	Y	
	9N-3702	GOVERNOR AND FUEL INJECTION PUMP GROUP	1	Y	
- OR -					
SERIAL NO. 92U29991 TO 92U46905 INCLUSIVE					
	1W-3628	GOVERNOR AND FUEL INJECTION PUMP GROUP	1	Y	
SERIAL NO. 92U46906--UP					
	9N-0792	FUEL RATIO CONTROL GROUP	1	Y	
	9N-0692	FUEL FILTER LINES GROUP	1	Y	
	9N-2145	GOVERNOR CONTROL GROUP	1	Y	
	4N-3287	FUEL PRIMING PUMP GROUP	1	Y	
	4N-1981	FUEL FILTER GROUP	1	Y	
	9N-2055	SWITCH GROUP	1	Y	
	9N-3671	FUEL PUMP AND GOVERNOR DRIVE GROUP	1	Y	
	9N-0016	TACHOMETER DRIVE GROUP	1	Y	
	9N-0449	ALTERNATOR MOUNTING GROUP	1	Y	
	9N-5080	SOLENOID SHUT-OFF GROUP	1	Y	

CAT codes: Y.

9N-3806 ENGINE AR

9N3806 ENGINE ARRANGEMENT--Part 1 of 2 Serial No. 92U29991 to 92U39970 inclusive. Provides a 284 kW (380 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-3866	CYLINDER BLOCK COVER GROUP	1	Y	
	4N-2257	CYLINDER BLOCK GROUP	1	Y	
	4N-0741	CRANKSHAFT GROUP	1	Y	
	6N-4992	CAMSHAFT GROUP	1	Y	
	7N-3516	CONNECTING ROD AND PISTON GROUP	6	Y	
	9N-3618	VALVE MECHANISM COVER GROUP	1	Y	
	9N-0073	LIFTING EYE GROUP	1	Y	
	4N-2270	CYLINDER HEAD GROUP	1	RY	
	4N-2271	VALVE MECHANISM GROUP	1	Y	
	4N-2264	ACCESSORY DRIVE GROUP	1	Y	
	9N-1375	PULLEY AND DAMPER GROUP	1	Y	
	9N-0642	FRONT HOUSING COVER GROUP	1	Y	
	4N-3911	FRONT HOUSING GROUP	1	Y	
	4N-2274	FRONT TIMING GEAR GROUP	1	Y	
	9N-3887	FLYWHEEL HOUSING GROUP	1	Y	
	9N-0676	FLYWHEEL GROUP	1	Y	
	9N-3889	FLYWHEEL HOUSING COVER GROUP	1	Y	
	9N-3715	OIL PAN GROUP	1	Y	
	4N-0733	OIL PUMP GROUP	1	RY	
	4N-0731	OIL FILTER GROUP	1	Y	
	9N-2282	OIL LINES GROUP	1	Y	
	9N-2517	FUMES DISPOSAL GROUP	1	Y	
	4N-2481	OIL PUMP MOUNTING FASTENER GROUP	1	Y	
	2N-8220	BREATHER GROUP	1	Y	
	7N-0166	OIL LEVEL GAUGE GROUP	1	Y	

CAT codes: R, Y.

9N-3806 ENGINE AR

9N3806 ENGINE ARRANGEMENT--Part 2 of 2 Serial No. 92U29991 to 92U39970 inclusive. Provides a 284 kW (380 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-3144	WATER LINES GROUP	1	Y	
	4N-0729	OIL COOLER GROUP	1	Y	
	9N-3288	WATER PUMP GROUP	1	Y	
	4N-2474	WATER LINES GROUP AFTERCOOLER	1	Y	
	9N-0022	EXHAUST MANIFOLD GROUP	1	Y	
	9N-3673	AIR LINES GROUP	1	Y	
	9N-5265	TURBOCHARGER GROUP	1	Y	
	9N-0013	TURBOCHARGER OIL LINES GROUP	1	Y	
	4N-9010	AFTERCOOLER GROUP	1	Y	
	9L-6671	MANIFOLD SHIELD GROUP	1	Y	
	9L-6970	AIR COMPRESSOR GROUP	1	Y	
	9L-6971	AIR COMPRESSOR LINES GROUP	1	Y	
	4N-3949	FUEL TRANSFER PUMP GROUP	1	Y	
	9N-3728	GOVERNOR AND FUEL INJECTION PUMP GROUP	1	Y	
	9N-0792	FUEL RATIO CONTROL GROUP	1	Y	
	9N-0692	FUEL FILTER LINES GROUP	1	Y	
	9N-2145	GOVERNOR CONTROL GROUP	1	Y	
	4N-3287	FUEL PRIMING PUMP GROUP	1	Y	
	4N-1981	FUEL FILTER GROUP	1	Y	
	6N-5202	GOVERNOR AND FUEL PUMP DRIVE GROUP	1	Y	
	9N-3672	FUEL INJECTION LINES GROUP	1	Y	
	9N-0016	TACHOMETER DRIVE GROUP	1	Y	
	9N-0449	ALTERNATOR MOUNTING GROUP	1	Y	
	9N-5080	SOLENOID SHUT-OFF GROUP	1	Y	

CAT codes: Y.

1W-2432 ENGINE AR

1W2432 ENGINE ARRANGEMENT--Part 1 of 2 Serial No. 92U39971--Up. Provides a 298 kW (400 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-3866	CYLINDER BLOCK COVER GROUP	1	Y	
	4N-2257	CYLINDER BLOCK GROUP	1	Y	
	4N-0741	CRANKSHAFT GROUP	1	Y	
	6N-4992	CAMSHAFT GROUP	1	Y	
	7N-3516	CONNECTING ROD AND PISTON GROUP	6	Y	
	9N-3618	VALVE MECHANISM COVER GROUP	1	Y	
	9N-0073	LIFTING EYE GROUP	1	Y	
	1W-1510	CYLINDER HEAD GROUP	1	Y	
	4N-2271	VALVE MECHANISM GROUP	1	Y	
	4N-2264	ACCESSORY DRIVE GROUP	1	Y	
	9N-1375	PULLEY AND DAMPER GROUP	1	Y	
	9N-0642	FRONT HOUSING COVER GROUP	1	Y	
	4N-3911	FRONT HOUSING GROUP	1	Y	
	4N-2274	FRONT TIMING GEAR GROUP	1	Y	
	9N-3887	FLYWHEEL HOUSING GROUP	1	Y	
	9N-0676	FLYWHEEL GROUP	1	Y	
	9N-3889	FLYWHEEL HOUSING COVER GROUP	1	Y	
	9N-3715	OIL PAN GROUP	1	Y	
	4N-0733	OIL PUMP GROUP	1	RY	
	4N-0731	OIL FILTER GROUP	1	Y	
	9N-2282	OIL LINES GROUP	1	Y	
	9N-2517	FUMES DISPOSAL GROUP	1	Y	
	4N-2481	OIL PUMP MOUNTING FASTENER GROUP	1	Y	
	2N-8220	BREATHER GROUP	1	Y	
	7N-0166	OIL LEVEL GAUGE GROUP	1	Y	

CAT codes: R, Y.

1W-2432 ENGINE AR

1W2432 ENGINE ARRANGEMENT--Part 2 of 2 Serial No. 92U39971--Up. Provides a 298 kW (400 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-3144	WATER LINES GROUP	1	Y	
	4N-0729	OIL COOLER GROUP	1	Y	
	9N-3288	WATER PUMP GROUP	1	Y	
	4N-2474	WATER LINES GROUP AFTERCOOLER	1	Y	
	9N-0022	EXHAUST MANIFOLD GROUP	1	Y	
	9N-3673	AIR LINES GROUP	1	Y	
	9N-5265	TURBOCHARGER GROUP	1	Y	
	9N-0013	TURBOCHARGER OIL LINES GROUP	1	Y	
	4N-9010	AFTERCOOLER GROUP	1	Y	
	9L-6671	MANIFOLD SHIELD GROUP	1	Y	
	9L-6970	AIR COMPRESSOR GROUP	1	Y	
	9L-6971	AIR COMPRESSOR LINES GROUP	1	Y	
	4N-3949	FUEL TRANSFER PUMP GROUP	1	Y	
	9N-6599	GOVERNOR AND FUEL INJECTION PUMP GROUP	1	Y	
	9N-0792	FUEL RATIO CONTROL GROUP	1	Y	
	9N-0692	FUEL FILTER LINES GROUP	1	Y	
	9N-2145	GOVERNOR CONTROL GROUP	1	Y	
	4N-3287	FUEL PRIMING PUMP GROUP	1	Y	
	4N-1981	FUEL FILTER GROUP	1	Y	
	6N-5202	FUEL PUMP AND GOVERNOR DRIVE GROUP	1	Y	
	9N-6499	FUEL INJECTION LINES GROUP	1	Y	
	9N-0016	TACHOMETER DRIVE GROUP	1	Y	
	9N-0449	ALTERNATOR MOUNTING GROUP	1	Y	
	9N-5080	ELECTRICAL SHUT-OFF GROUP	1	Y	

CAT codes: Y.

9N-3808 ENGINE AR

9N3808 ENGINE ARRANGEMENT--Part 1 of 2 Serial No. 92U29991 to 92U38016 inclusive. Provides a 283 brake kW (380 bhp) Direct Injection, Turbocharged Aftercooled Engine. NOTE: WHEN ORDERING PARTS GIVE ENGINE ARRANGEMENT AND SERIAL NUMBER

Arrangement composition. Follow the table links to the component drawings.

Ref.	Part number	Description	Qty	Code	Group page
	9N-3866	CYLINDER BLOCK COVER GROUP	1	Y	
	4N-2257	CYLINDER BLOCK GROUP	1	Y	
	4N-0741	CRANKSHAFT GROUP	1	Y	
	6N-4992	CAMSHAFT GROUP	1	Y	
	7N-3516	CONNECTING ROD AND PISTON GROUP	6	Y	
	9N-3618	VALVE MECHANISM COVER GROUP	1	Y	
	9N-0073	LIFTING EYE GROUP	1	Y	
	4N-2270	CYLINDER HEAD GROUP	1	RY	
	4N-2271	VALVE MECHANISM GROUP	1	Y	
	4N-2264	ACCESSORY DRIVE GROUP	1	Y	
	9N-1375	PULLEY AND DAMPER GROUP	1	Y	
	9N-0642	FRONT HOUSING COVER GROUP	1	Y	
	4N-3911	FRONT HOUSING GROUP	1	Y	
	4N-2274	FRONT TIMING GEAR GROUP	1	Y	
	9N-3887	FLYWHEEL HOUSING GROUP	1	Y	
	9N-0676	FLYWHEEL GROUP	1	Y	
	9N-3889	FLYWHEEL HOUSING COVER GROUP	1	Y	
	9N-3715	OIL PAN GROUP	1	Y	
	4N-0733	OIL PUMP GROUP	1	RY	
	4N-0731	OIL FILTER GROUP	1	Y	
	4N-1128	OIL LINES GROUP	1	Y	
	9N-2517	FUMES DISPOSAL GROUP	1	Y	
	4N-2481	OIL PUMP MOUNTING FASTENER GROUP	1	Y	
	2N-8220	BREATHER GROUP	1	Y	
	9N-0633	OIL LEVEL GAUGE GROUP	1	Y	

CAT codes: R, Y.

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